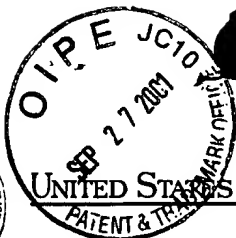


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/891,080	06/25/2001	Matthew F. Hurst	JP20000066US;954-010377- U

CONFIRMATION NO. 8775

2512
PERMAN & GREEN
425 POST ROAD
FAIRFIELD, CT 06430

FORMALITIES LETTER



OC00000006435104

Date Mailed: 08/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Amelia Manalac

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/20/2001 H00000001 500510 09091030

09/20/2001 130.00 CH

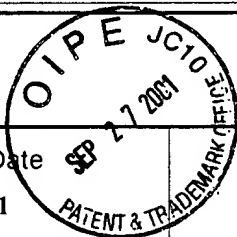
**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

13207
Docket No.
JP920000066US / 954-010377

In Re Application Of: HURST et al.

Serial No.
09/891,080

Filing Date
6/25/01



Examiner

Group Art Unit

Invention:

DOCUMENT PROCESSING METHOD, SYSTEM AND MEDIUM

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
8/16/01
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

A Declaration document executed by Tetsuya NASUKAWA which was executed prior to receiving the serial number and filing date for this application.

A cover letter confirming that the Declaration document signed by Mr. Nasukawa is in compliance with the identification requirements under 37 CFR 1.63, number (6), wherein the Declaration document contains the names of the inventors, title which was on the specification as filed and is accompanied by this cover letter identifying the specific patent application by the serial number and filing date.

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
JP920000066US / 954-010377

In Re Application Of: **HURST et al.**

Serial No.
09/891,080

Filing Date
6/25/01

Examiner

Group Art Unit

Invention:

DOCUMENT PROCESSING METHOD, SYSTEM AND MEDIUM

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
JP920000066US / 954-010377

In Re Application Of: **HURST et al.**

Serial No.
09/891,080

Filing Date
6/25/01

Examiner

Group Art Unit

Invention:

DOCUMENT PROCESSING METHOD, SYSTEM AND MEDIUM

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$130.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0510
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0510
A duplicate copy of this sheet is enclosed.



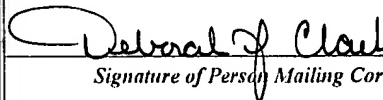
Signature

Clarence A. Green, Reg. No.: 24,622
PERMAN & GREEN, LLP
(203) 259-1800

Customer No.: 2512

Dated: September 25, 2001

I certify that this document and fee is being deposited on
September 25, 2001 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

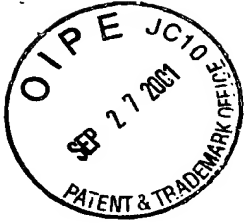


Signature of Person Mailing Correspondence

Deborah J. Clark

Typed or Printed Name of Person Mailing Correspondence

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HURST et al.

SERIAL NUMBER: 09/891,080

EXAMINER:

FILING DATE: 6/25/01

ART UNIT:

TITLE: DOCUMENT PROCESSING METHOD, SYSTEM AND MEDIUM

ATTORNEY DOCKET NO.: 954-010377-US(PAR)

Commissioner of Patents
Washington, D.C. 20231

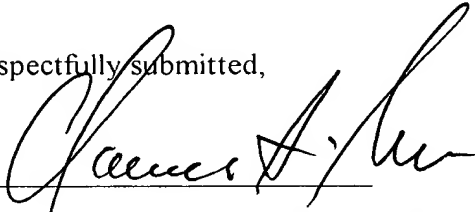
**SUBMISSION OF COMBINED DECLARATION AND POWER OF
ATTORNEY DOCUMENT**

Dear Sir:

We are submitting herewith an executed Combined Declaration and Power of Attorney document for the above-referenced patent application. At the time the document was executed by Mr. Nasukawa, we had not yet received the serial number for this application. However, in compliance with the identification requirements under 37 CFR 1.63, number (6), the Declaration document contains the names of the inventors, title which was on the specification as filed and is accompanied by this cover letter identifying the specific patent application by the serial number and filing date. This combination of information along with this cover letter should be acceptable for complying with the identification requirement of 37 CFR 1.63.

Please charge the surcharge fee of \$130.00, for late filing of the Combined Declaration and Power of Attorney document to deposit account number 50-0510. Please charge any additional fees due to deposit account no. 50-0510.

Respectfully submitted,



Clarence A. Green, Reg. No. 24,622
PERMAN & GREEN, LLP
425 Post Road
Fairfield, CT 06430
(203) 259-1800
Customer No.: 2512



Date